



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Toshiaki SUZUKI et al.

Appln. No.: 10/501,647 Group Art Unit: 1645

Filed: July 15, 2004 Examiner:

For: METHOD FOR SPECIFYING SNP Confirmation No.: 5129

Attorney Docket No.: 3883.021

Customer No.: 000041288

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97 and §1.98

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the following documents for the above-identified application. Copies of the documents set forth below and listed on the attached Form PTO/SB/08A, are provided herewith.

- Toshiaki INOUE, "Idenshi Tagata o Riyo shita Shikkan Idenshi Kensakuho, Post Sequence no. Genome kagaku (1) SNP Idenshi Tagata no senryaku", 1st edition, Nkayama-Shoten Co., Ltd., 2000, page 47 to 70
- 2. BARTON, A. et al., The single-nucleotide polymorphism lottery: how useful are a few common SNPs in identifying

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disease-associated allesles?, Genet Epidemiol. 2001, Vol.21, Suppl 1, p. S284-289

- 3. SAUNDERS, C.L. et al., Using single nucleotide polymorphisms to investigate association between a candidate gene and disease, Genet Epidemiol, 2001, Vol.21, Suppl 1, p.S415-420
- 4. American Journal of Human Genetics, 2000, Vol. 66, pp 1933-1844

Document 1

Document 1 is in the Japanese language. Applicants are not aware of an equivalent English Language document.

Documents 2-4

Documents 2-4 are in the English language.

The present Information Disclosure Statement is being filed after three months from the application's filing date but before the mailing date of the first Office Action on the merits, therefore no Certification Under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedated or

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otherwise remove any listed document as a competent reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

Evelyn A. Defillo

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Dated: March 16, 2005

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CERTIFICATE OF MAILING AND AUTHORIZATION TO CHARGE

I hereby certify that the foregoing INFORMATION DISCLOSURE STATEMENT Form PTO/SB/08A, including four (4) documents, for U.S. Application No. 10/501,647 filed July 15, 2004, were deposited in first class U.S. mail, postage prepaid, addressed to: Commissioner for Patents, Mail Stop Amendment, P.O. Box 1450, Alexandria, VA 22313-1450, on March 16, 2005.

The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account No. 16-0877.

Evelyn A. Defills

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449/PTO

Complete If Known

Application Number 140/504 647

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

Application Number 10/501,647

Filing Date July 15, 2004

First Named Inventor Toshiaki Suzuki et al.

Art Unit 1645

(use as man sheets as necessary)

Examiner Name
Attorney Docket No. 3883.021

U.S. PATENT DOCUMENTS

 xaminer Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (If Known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
		US-			

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published		
	1	Toshiaki INOUE, "Idenshi Tagata o Riyo shita Shikkan Idenshi Kensakuho, Post Sequence no. Genome kagaku (1) SNP Idenshi Tagata no senryaku", 1 st edition, Nkayama-Shoten Co., Ltd., 2000, page 47 to 70	N	
	2	BARTON, A. et al., The single-nucleotide polymorphism lottery: how useful are a few common SNPs in identifying disease-associated allesles?, Genet Epidemiol. 2001, Vol.21, Suppl 1, p. S284-289		
	3	SAUNDERS, C.L. et al., Using single nucleotide polymorphisms to investigate association between a candidate gene and disease, Genet Epidemiol, 2001, Vol.21, Suppl 1, p.S415-420		
	4	American Journal of Human Genetics, 2000, Vol. 66, pp 1933-1844		

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ^{5 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т
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Examiner Signature	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 nours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.